



PTO/SB/08A (08-03)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	09/399,578
Filing Date	09/20/1999
First Named Inventor	
Group Art Unit	2155
Examiner Name	Winder, Patrice L.
Attorney Docket Number	

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number and Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	A2					

**OTHER ART -- NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A3	"Internet hasn't focused on good photography as much as it could" Article, The Dallas Morning News, 9/1995 (AOL-B 0001478)
	A4	"Group dynamics add fun to guided on-line tours" Article, USA Today, 10/1995 (AOL-B 0001479)
	A5	"People with addictions band together for support on line", Article, 6/1995 (AOL-B 0001480)
	A6	"Netscape Communications Introduces Netscape Internet Applications Family For Electronic Commerce" Netscape Company Press Relations, 3/1995 (AOL-B 0005712-0005713)
	A7	"Netscape Navigator™ Personal Edition" Software (AOL-B 0000446-0000451)
	A8	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Expert Report of Bruce M. Maggs" dated 8/5/2005
	A9	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Supplemental Rebuttal Expert of Bruce M. Maggs Regarding Invalidity of U.S. Patent 5,956,491" dated 9/26/2005.
	A10	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, Rebuttal Expert Report of Bruce M. Maggs Regarding Invalidity of U.S. Patent 5,956,491" dated 8/28/2005.
	A11	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 4/29/2005
	A12	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Second Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 5/20/2005

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SIGNATURE****DATE CONSIDERED**

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary))		Application Number	09/399,578
		Filing Date	09/20/1999
		First Named Inventor	
		Group Art Unit	2155
		Examiner Name	Winder, Patrice L.
Attorney Docket Number			
Sheet	2	Of	2

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A13	<i>Windy City Innovations, LLC v. America Online, Inc.</i> , Civil Action No. 04 C 4240, "AOL's Third Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 8/11/2005
	A14	<i>Windy City Innovations, LLC v. America Online, Inc.</i> , Civil Action No. 04 C 4240, "AOL's Fourth Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 9/20/2005
	A15	<i>Windy City Innovations, LLC v. America Online, Inc.</i> , Civil Action No. 04 C 4240, "AOL's Fifth Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 9/27/2005
	A16	<i>Windy City Innovations, LLC v. America Online, Inc.</i> , Civil Action No. 04 C 4240, "Declaration of Mr. David W. Jeske" dated 6/6/2005
	A17	"Netscape adds tools," Responsive Database Services, Inc., Press Release 3/1995 (AOL 1206861 - 1206862)
	A18	"Netscape communications introduces Netscape internet applications family for electronic commerce," PR Newswire Association, Inc., Press Release, 3/1995 (AOL 1206863 - 1206864)
	A19	"Full Scale Commerce With Netscape," Business Communications Co., Press Release, 4/1995 (AOL 1206865 - 1206866)
	A20	"NetScape spins Web extensions," adds firewall, Usenet servers, electronic shopping software NetScape Communications Proxy Server, Isore, Merchant System, Publishing System, Community System," Information Access Company, 4/1995 (AOL 1206867 - 1206868)
	A21	"Netscape offers bookmark, chat services on Web," InfoWorld Media Group, 8/1995 (AOL 1206869)
	A22	"Netscape For Windows 95 Announced," Newsweek Business Information, Inc., 8/1995 (AOL 1206870- 1206873)
	A23	"Netscape introduces Netscape Smartmarks™ and Netscape Chat™; Applications Bring New Navigation and Communications Capabilities to Users of Netscape Navigator for Windows," Netscape Chat Help Contents (AOL 613173 - 613243)

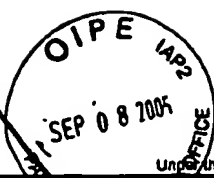
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute Form 19A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/399,578
		Filing Date	09/20/1999
		First Named Inventor	Marks, Daniel L.
		Group Art Unit	2155
		Examiner Name	Winder, Patrice L.
Attorney Docket Number			
Sheet	1	of	8

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		Number and Code (if known)			
	A1	5,613,056	03/18/1997	Gasper, et al.	
	A2	5,617,539	04/01/1997	Ludwig, et al.	
	A3	5,627,978	05/06/1997	Altom, et al.	
	A4	5,682,469	10/28/1997	Linnett, et al.	
	A5	5,713,019	01/27/1998	Keaten	
	A6	5,721,763	03/24/1998	Joseph, et al.	
	A7	5,729,684	05/17/1998	Kuzma	
	A8	5,754,775	05/19/1998	Adamson, et al.	
	A9	5,784,568	07/21/1998	Needham	
	A10	5,794,006	08/11/1998	Sanderman	
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	A13	5,802,281	09/01/1998	Clapp, et al.	
	A14	5,822,523	10/13/1998	Rothschild, et al.	
	A15	5,850,442	12/15/1998	Muftic	
	A16	5,880,731	03/09/1999	Lies, et al.	
	A17	5,889,843	03/30/1999	Singer, et al.	
	A18	5,924,082	07/13/1999	Silverman, et al.	
	A19	5,933,599	08/03/1999	Nolan	
	A20	5,941,947	08/24/1999	Brown, et al.	
	A21	5,974,409	10/26/1999	Sanu, et al.	
	A22	5,987,401	11/16/1999	Trudeau	
	A23	6,692,359	02/17/2004	Williams, et al.	
	A24	4,710,917	12/01/1987	Tompkins, et al.	
	A25	4,953,159	08/28/1990	Hayden, et al.	
	A26	5,195,086	03/16/1993	Baumgartner, et al.	
	A27	5,257,306	10/26/1993	Watanabe	
	A28	5,347,306	09/13/1994	Nitta	
	A29	5,465,370	11/07/1995	Ito, et al.	
	A30	5,471,315	11/28/1995	Ahuja, et al.	
	A31	5,491,743	02/13/1996	Shilo, et al.	
	A32	5,572,248	11/05/1996	Allen, et al.	
	A33	5,572,643	11/05/1996	Judson	

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Substitute for form 1449A/PTO

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Sheet **2** Of **8****Complete if Known**

Application Number	09/399,578
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First Named Inventor	2155
Group Art Unit	2155
Examiner Name	Winder, Patrice L.
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	A34	5,592,478	01/07/1997	Weiss	
	A35	5,440,624	08/08/1995	Schoof, II	
	A36	5,774,668	06/30/1998	Choquier, et al.	
	A37	5,799,151	08/25/1998	Hoffer	
	A38	5,812,552	09/22/1998	Arora, et al.	
	A39	5,826,085	10/20/1998	Bennett, et al.	
	A40	5,933,599	08/03/1999	Nolan	
	A41	5,956,509	09/21/1999	Kevner	

**FOREIGN PATENT DOCUMENTS**

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**OTHER ART - NON PATENT LITERATURE DOCUMENTS**

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	A42	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Complaint" filed 6/24/2004.
	A43	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Notice of Claim Involving a Patent" filed 6/24/2004.
	A44	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "First Amended Answer to the Complaint, and Counterclaim of Defendant America Online, Inc." filed 9/14/2004.
	A45	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Plaintiff's Reply to the First Amended Counterclaim of Defendant America Online, Inc." filed 9/28/2004.
	A46	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated April 29, 2005.

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Sheet 3 Of 8

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	A47	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Second Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated May 20, 2005.
	A48	NETSCAPE, "Netscape Power Pack Bookmarks, Chat, and Multimedia Add-Ons". (AOL 613167-613172)
	A49	NETSCAPE, "Netscape Announces Add-On Product Suite for Popular Netscape Navigator Software, Netscape Power Pack Includes Netscape SmartMarks, Netscape Chat and Multimedia Add-On Applications From Adobe, Apple, and Progressive Networks" Press Release, 05/11/2005, pp. 1-3. (AOL 613244-613246)
	A50	PR NEWswire ASSOC., INC. "Netscape Announces Add-On Product Suite For Popular Netscape Navigator™ Software" Article, 10/25/1999, pp. 1-2. (AOL 613247-613248)
	A51	NETSCAPE, "Netscape Chat Help Contents" Manual. (AOL 613173-613243)
	A52	WIRED CHANNELING "Tips for Foiling the NSA" Article, 01/1996, pg. 174. (AOL 469104-469105)
	A53	FLASH NEWS "Market Support News, Jacksonville Update" Article, 05/19/1995, pp. 1-4, (AOL 469106-469109)
	A54	PALFREYMAN, et al., "A Protocol for User Awareness on the World Wide Web", Article, 1996, pp. 130-139. (AOL 469110-469119)
	A55	ROBINETT, "Interactivity and Individual Viewpoint in Shared Virtual Worlds: The Big Screen vs. Networked Personal Displays", Article, Computer Graphics, Vol. 28, No. 2, 05/1994, pp. 127-130. (AOL 074871-074874)
	A56	OHYA, et al., "Real-Time Reproduction of 3D Human Images in Virtual Space Teleconferencing", Article, pp. 408-414. (AOL 074875-074881)
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	A58	CARLSSON, "DIVE - a Multi-User Virtual Reality System", Article, IEEE 1993, pp. 394-400. (AOL 074894-074900)
	A59	BENFORD, et al., "Supporting Cooperative Work in Virtual Environments", The Computer Journal, Vol. 37, No. 8, 1994, pp. 653-668. (AOL 074901-074916)
	A60	FARALLON COMPUTING, INC., "Timbuktu™ User's Guide, Manual, pp. 1-98. (AOL 074917-075026)
	A61	BERIAGE, et al., "A Framework For Shared Applications With a Replicated Architecture", Article, 11/3-5/1993, pp. 249-257. (AOL 075027-075035)
	A62	SOHLENKAMP, "A Virtual Office Environment Supporting Shared Applications", Article, 02/7-11/1994. (AOL 075036-075044)

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Sheet 4 Of 8

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First Named Inventor	2155
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Examiner Name	Winder, Patrice L.
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	A63	FARALLON COMPUTING, INC., "Timbuktu/Remote™ User's Guide", Article, pp. 6-8. (AOL 075063-075066)
	A64	GAJEWSKA, et al., "Argo: A System for Distributed Collaboration", Article, pp. 1-12. (AOL 075080-075094)
	A65	HANDLEY, et al., "CCCP: Conference Control Channel Protocol A Scalable Base for Building Conference Control Applications", pp. 1-18. (AOL 075092-075109)
	A66	BAHR, et al., "Multimedia Conferencing in a Packet Switched Environment", Article. (AOL 075110-075113)
	A67	SASSE, et al., "Multimedia Conferencing over the Internet, The MICE Project", Article, pp. 1-17. (AOL 075114-075130)
	A68	SASSE, et al., "Interacting with Multi-media, Multi-user Systems: Observations on Multi-Media Conferencing Tools", Article. (AOL 075131-075144)
	A69	HANDLEY, et al., "The Conference Control Channel Protocol (CCCP): A Scalable Base for Building Conference Control Applications", Article, 1995, pp. 275-287. (AOL 075145-075157)
	A70	SASSE, et al., "Remote Seminars through Multimedia Conferencing: Experiences from the MICE Project", Article, Proc. INET '94/JENC5, pp. 1-8. (AOL 075158-075165)
	A71	HANDLEY, et al., "Multimedia Integrated Conferencing for European Researchers (MICE): Piloting Activities and the Conference Management and Multiplexing Centre", Article, pp. 1-14. (AOL 075183-075196)
	A72	KIRSTEIN, et al., "Piloting of Multimedia Integrated Communications for European Researchers (MICE)", Article, Proc. INET '93, pp. 1-12. (AOL 075197-075208)
	A73	KIRSTEIN, et al., "Recent Activities in the MICE Conferencing Project", Article, Proc. INET '95. (AOL 075209-075218)
	A74	TURLETTI, "The INRIA Videoconferencing System", Article, pp. 1-7. (AOL 075219-075225)
	A75	BAHR, et al., "Incorporating Security Functions in Multimedia Conferencing Applications in the Context of the MICE Project", Article. (AOL 075226-075233)
	A76	BILTING, et al., "International Research Seminars through Multimedia conferencing: Experiences from the MICE Project", Article. (AOL 075234-075237)
	A77	SASSE, et al., "Multimedia Conferencing Over The Internet: The MICE Project and Tools", Article, pp. 1-11. (AOL 075238-075248)
	A78	SASSE, et al., "Remote Seminars through Multimedia Conferencing: Experiences from the MICE Project", Article, Proc. INET '94/JENC5. (AOL 075249-075260)
	A79	CLAYMAN, et al., "The Interworking of Internet and ISDN Networks for Multimedia Conferencing", Article, pp. 1-28. (AOL 075261-075288)
	A80	BYTE, "Network and Windows 95 Take Top BYTE Awards", Article, July 1995. (AOL 055731-055732)

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**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published
	A81	COMPU SERVE, "CompuServe Producer User Guide", Article, 04/19/1995, pp. 1-36. (AOL 055743-055779)
	A82	REESE, et al., "Online with Start Kesmai Air Warrior", Article. (AOL 055780-055781)
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**Complete if Known**

Application Number	09/399,578
Filing Date	09/20/1999
First Named Inventor	2155
Group Art Unit	2155
Examiner Name	Winder, Patricia L.
Attorney Docket Number	

Sheet 6 Of 8

**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

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	A103	WATABE, et al., "Distributed Desktop Conferencing System with Multiuser Multimedia Interface", Article, 1991 IEEE, pp. 531-539. (AOL 052406-052414)
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	A120	HORN, et al., "An ISDN Multimedia Conference Bridge", Article, IEEE Region 10, 09/1990, pp. 853-858. (AOL 052575-052578)
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	A135	BUXTON, et al., "Europarc's Integrated Interactive Intermedia Facility (IIIF): Early Experiences". In S. Gibbs & A.A. Verrijn-Stuart (Eds.). <i>Multiuser interfaces and applications, Proceedings of the IFIP WG 8.4 Conference on Multi-user Interfaces and Applications</i> , Heraklion, Crete. Amsterdam: Elsevier Science Publishers B.V. (North-Holland), 11-34. (AOL 052756-052764)
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	A141	BORNING, et al., "Two Approaches to Casual Interaction Over Computer and Video Networks," pp. 13-19. (AOL 052820-052826)

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Atty. Docket No. AIS-P1-99

Serial No.  
09/339,578

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

Applicant: Daniel L. Marks

Filing Date:

September 20, 1999

Group:

2765

U.S. Patent Documents

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Foreign Patent Documents

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